

Best Available Copy

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:  
Christopher A. Atwood et al.

Conf. No.: 9102

Application No.: 09/970,281

Art Unit: 2192

Filed: October 3, 2001

Examiner: T. T. Vo

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For: RATING APPARATUS AND METHOD FOR  
EVALUATING BUGS

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**DECLARATION PURSUANT TO 37 CFR §1.131**

In connection with the Applicant's Response to the final Office Action issued on April 7, 2005, this declaration sets forth the pertinent facts proving conception of the claimed invention prior to June 2001.

1. We, Angelo Rajadurai, Yousef Yacoub, Christopher Atwood, Mehdi Ghazizadeh, Eric Reid, Prashant Srinivasan, and James C. Liu, are the listed inventors for U.S. Patent Application Serial No. 09/970,281 entitled "Rating Apparatus and Method for Evaluating Bugs."
2. We, Angelo Rajadurai, Yousef Yacoub, Christopher Atwood, Mehdi Ghazizadeh, Eric Reid, Prashant Srinivasan, and James C. Liu, conceived the claimed invention prior to at least May 3, 2001 and submitted a written description of the invention recited in U.S. Patent Application Serial No. 09/970,281 on May 3, 2001. A copy of the aforementioned written description is included under Tab 1.

3. We, Angelo Rajadurai, Yousef Yacoub, Christopher Atwood, Mehdi Ghazizadeh, Eric Reid, Prashant Srinivasan, and James C. Liu, diligently worked on the constructive reduction to practice of the invention from at least May 3, 2001, until, at least October 3, 2001, when U.S. Patent Application Serial No. 09/970,281 was filed, as evidenced by the following attachments:

Tab 1 – May 3, 2001	Internal Invention Disclosure	Shows initial written description of the process of the claimed invention
Tab 2 – May 14, 2001	Engagement Letter to Attorneys	Attorneys received letter and began working on drafting referenced patent application
Tab 3	Redacted Time Sheets	Shows time spent by attorneys on drafting referenced patent application
Tab 4 – July 30, 2001	E-mail to Inventor	E-mail references phone conference held on July 30, 2001 to discuss invention with inventors
Tab 5 – July 30, 2001	E-mail Re: Screen Shots	Inventor sent Attorneys screen shots to incorporate into referenced patent application
Tab 6 – August 24, 2001	Letter to Inventors	First draft of referenced patent application is sent to inventors
Tab 7 – August 29, 2001	Follow-up with First Draft	E-mail to Inventor to follow up with review of referenced patent application
Tab 8 – September 6, 2001	Comments from Inventor	E-mails documenting inventor comments to change title of referenced patent application
Tab 9 – September 19, 2001	Letter to Inventors	Final draft of referenced patent application sent to inventors, with Declaration/Assignment to execute
Tab 10 – September 25, 2001	Fax to Inventors	Revised Assignment sent to Inventors
Tab 11 – September 26, 2001	Fax to Attorneys from Inventors	Received fax of executed Assignment for filing of referenced patent application

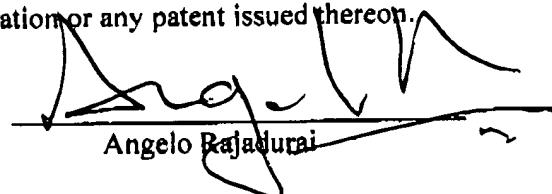
Application No.: 09/970,281

Docket No.: 16159/027001; P6420

		referenced patent application
Tab 12 – October 1, 2001	Fax to Attorneys from Inventors	Received fax of executed Declaration for filing of referenced patent application
Tab 13 – October 3, 2001	Letter to client managing attorney	Confirmation of filing of referenced patent application on October 3, 2001.

We, Angelo Rajadurai, Yousef Yacoub, Christopher Atwood, Mehdi Ghazizadeh, Eric Reid, Prashant Srinivasan, and James C. Liu hereby declare that all statements made herein of our own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signed this day 16<sup>th</sup>, of June 2005.



Angelo Rajadurai

Signed this day \_\_\_\_\_, of June 2005.



Yousef Yacoub

Signed this day \_\_\_\_\_, of June 2005.



Christopher Atwood

Signed this day \_\_\_\_\_, of June 2005.



Mehdi Ghazizadeh

Signed this day \_\_\_\_\_, of June 2005.



Eric Reid

Signed this day \_\_\_\_\_, of June 2005.



Prashant Srinivasan

Application No.: 09/970,281

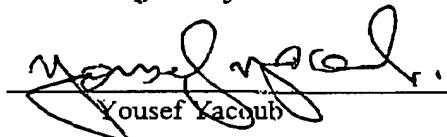
Docket No.: 16159/027001; P6420

Tab 13 – October 3, 2001	Letter to client managing attorney	Confirmation of filing of referenced patent application on October 3, 2001.
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Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Angelo Rajadurai

  
\_\_\_\_\_  
Yousef Yacoub

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Christopher Atwood

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Mehdi Ghazizadeh

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Eric Reid

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Prashant Srinivasan

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
James C. Liu

Application No.: 09/970,281

Docket No.: 16159/027001; P6420

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Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Angelo Rajadurai

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Yousef YacoubSigned this day 14, of June 2005.\_\_\_\_\_  
Christopher Atwood

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Mehdi Ghazizadeh

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Eric Reid

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Prashant Srinivasan

Application No.: 09/970,281

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Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Angelo Rajadurai

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Yousef Yacoub

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Christopher Atwood

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Mehdi Ghazizadeh

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Eric Reid

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Prashant Srinivasan

referenced patent application		
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Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Angelo Rajadurai

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Yousef Yacoub

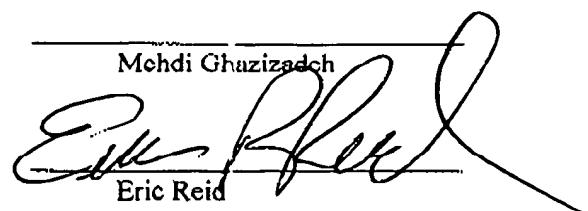
Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Christopher Atwood

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Mehdi Ghazizadeh

Signed this day 15, of June 2005.

  
Eric Reid

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Prashant Srinivasan

Application No.: 09/970,281

Docket No.: 16159/027001; P6420

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Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Angelo Rajadurai

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Yousef Yacoub

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Christopher Atwood

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Mehdi Ghazizadeh

Signed this day \_\_\_\_\_, of June 2005.

\_\_\_\_\_  
Eric ReidSigned this day 30 <sup>th</sup>, of June 2005,\_\_\_\_\_  
Prashant Srinivasan

Signed this day 30<sup>th</sup>, of June 2005.



James C. Liu

> > > Subject: P6420 - Invention Disclosure - Rajadurai  
> > > To: anirma.gupta@eng.sun.com, jayashree.vasudevan@eng.sun.com,  
> > > christine.trujillo@eng.sun.com  
> > > Cc: maria.moore@corp.sun.com, kristine.bombaci@corp.sun.com,  
> > > celeste.filoia@sun.com  
> > >  
> > > ----- Begin Forwarded Message -----  
> > >  
> > > Date: Mon, 7 May 2001 13:22:48 -0700 (PDT)  
> > > From: Celeste Filoia <filoiae@halpal>  
> > > Subject: P6420 - Invention Disclosure - Rajadurai  
> > > To: angelo.rajadurai@West  
> > > Cc: yousef.yacoub@Eng, chris.atwood@Eng, mehdi.ghazizadeh@Eng,  
> > > eric.reid@Eng,  
> > > prashant.srinivasan@Eng, james.liu@Eng  
> > > Mime-Version: 1.0  
> > > Content-MD5: MNb7OgP5kt2WvxaWpIuHog==  
> > >  
> > > Angelo,  
> > >  
> > > Here's a copy of your disclosure with the Sun reference number assigned  
> > > to it (P6420). Please use this reference number when referring to this  
> > > matter in the future. Should you have questions regarding the status of  
> > > this disclosure, please contact your Managing Sun Attorney (listed  
> > > below).  
> > >  
> > > [REDACTED]  
> > >  
> > > [REDACTED]  
> > >  
> > > Kristine:  
> > >  
> > > [REDACTED]  
> > > 1) ANGELO RAJADURAI  
> > > 2) YOUSEF YACOUB  
> > > 3) CHRISTOPHER ATWOOD  
> > > 4) MEHDI GHAZIZADEH  
> > > 5) ERIC REID  
> > > 6) PRASHANT SRINIVASAN  
> > > 7) JAMES LIU  
> > >  
> > > Note to the inventor(s): If approved, the incentive transaction will be  
> > > processed two weeks from today. This means that your incentive payment  
> > > may not show up until the pay period after the processing date.  
> > >  
> > > [REDACTED]  
> > > RAJADURAI, ANGELO angelo.rajadurai@West [REDACTED] 16  
> > > YACOUB, YOUSEF yousef.yacoub@Eng [REDACTED]  
> > > [REDACTED]  
> > > ATWOOD, CHRISTOPHER chris.atwood@Eng [REDACTED]  
> > > [REDACTED]  
> > > GHAZIZADEH, MEHDI mehdi.ghazizadeh@Eng [REDACTED]  
> > > [REDACTED]  
> > > REID, ERIC eric.reid@Eng [REDACTED]  
> > > [REDACTED]  
> > > SRINIVASAN, PRASHANT prashant.srinivasan@Eng [REDACTED]  
> > > [REDACTED]  
> > > LIU, JAMES james.liu@Eng [REDACTED]

> 5179  
> >  
> >  
> >  
> >  
> > [REDACTED]  
> >  
> > ----- Begin Forwarded Message -----  
> >  
> > [REDACTED] [Umesh.Rajagopalan@comcast.net](mailto:Umesh.Rajagopalan@comcast.net)  
> > Date: Thu, 3 May 2001 15:53:07 -0700 (PDT)  
> > [REDACTED]  
> > [REDACTED]!  
> > [REDACTED]  
> > [REDACTED] M  
> > [REDACTED]  
> > [REDACTED]  
> > ----- CUT HERE -----  
> > [REDACTED]  
> > [REDACTED] \*\*\*  
> > [REDACTED]  
> > \*\*\*\*\*  
> > [REDACTED]  
> > [REDACTED]  
> > [REDACTED]  
> > [REDACTED]  
> > -----  
> > [REDACTED]  
> > -----  
> > -----  
> > 1. TITLE: Market Development Engineering - Bug council Process  
> > [REDACTED]  
> > [REDACTED]  
> > [REDACTED]  
> > [REDACTED]

> > > -----  
> > > 2. BACKGROUND AND RELATED ART  
> > >  
> > > A. What is the technical problem which your invention addresses as  
> > > specifically as you can.  
> > >  
> > > Needed a process to escalate Independent Software Vendor reported product  
> > > defects. Needed a process to rank, escalate and track the progress of the  
se  
> > > bugs. Also there was a need for automated software for submission trackin  
g  
> > > the  
> > > progress of the bug. A process to calculate the Sun Sigma value for each  
> > > defect  
> > > and Sun sigma value for each product was also needed.  
> > >  
> > > B. What is the Closest Related Art?  
> > >  
> > > Please list the closest related art of which you're aware, including  
> > > (1) published articles, books, etc.; (2) patents; (3) products  
> > > (developed by Sun or another company); or (4) any other information  
> > > you or your colleagues may be aware of at this time. Please give  
> > > date(s) of all references, if known. <B>Note that you do not have to  
> > > do a prior art search! If you're unaware of any relevant prior art,  
> > > please say so.  
> > >  
> > > Unaware of any related art.  
> > >  
> > > -----  
> > > 3. DRAWING(S)  
> > >  
> > > Drawings for this invention are available (available/unavailable).  
> > >  
> > > Drawings will be provided upon request: TRUE  
> > > (TRUE OR FALSE)  
> > >  
> > > If you have drawings or diagrams that illustrate the invention, please  
> > > send them to us (e.g. Postscript documents by email). These can  
> > > include circuit schematics, structures, process steps, a program  
> > > flowchart, block diagrams, high-level pseudo code, etc., as applicable.  
> > > If you have original drawings in your lab notebook, please send  
> > > photocopies of the pertinent pages to: Docketing Coordinator at MS  
> > > PAL01-521.  
> > > If no drawings exist, that's OK! Just say so. The patent attorney  
> > > who writes up the application will generate drawings as needed after  
> > > meeting with you about the disclosure.  
> > >  
> > > You should wait to submit any documents until you have received a  
> > > P-number or at least CLEARLY indicate: 1) the invention title;  
> > > 2) your name; and 3) the date of the disclosure submission.  
> > >  
> > > -----  
> > > 4. WRITTEN DESCRIPTION  
> > >  
> > > Please provide a written description of the invention (with reference to  
> > > the drawings) or send a hard copy to: Docketing Coordinator at Mail Stop  
> > > PAL01-521.  
> > >  
> > > In your description, please indicate: (1) the problem(s) that  
> > > the related art has been unable to solve; and (2) how your invention solve

> > > [REDACTED]

> > > I believe a written description of the invention is as follows:

> > > [REDACTED]

> > > [REDACTED] a

> > > The invention is described as follows:

> > > 1) The problem:

> > > There was no management escalation process to track ISV reported defects. The current escalation process is heavily weighted toward deployment customers and does not adequately meet the needs of our development customers.

> > > 2) The invention.

> > > i) A process to rank the ISV reported defect.

> > > Here is the process for ranking the ISV defects.

> > > Start with zero points, then:

> > > Step 1  
Does bug have Severity 1? add 1 point

> > > Step 2  
Is associated Escalation Red or Yellow? add 2 points ✓

> > > Step 3  
Does bug have a reproducible one-step command/script test case of product failure? add 1 point ✓

> > > Step 4  
Is the ISV a Market Development Global ISV?  
If not, does estimated lost revenue due to this bug  
2 US\$20M? add 5 points ✓

> > > add 1 point for each US\$20M in lost revenue

> > > Step 5  
Does this bug impact the

> > > adoption of Sun Strategic  
> > > Technology?  
> > >  
> > >  
> > >  
> > > Step 6 Is this ISV Strategic?  
> > >  
> > >  
> > >  
> > > Step 7 Does this ISV has DTS  
> > > Access Plus contract?  
> > >  
> > > Step 8 Are downstream ISVs  
> > > impacted or hindered by the  
> > > upstream ISVs bug?  
> > >  
> > > An upstream ISV is one that  
> > > provides technology that is  
> > > required by other Sun ISV  
> > > products. Similarly, a  
> > > downstream ISV depends on  
> > > upstream ISV products to release  
> > > its product on Sun platforms.  
> > >  
> > >  
> > >  
> > >  
> > >  
> > > ii) A software to automate the submission process.  
> > >  
> > > This was developed as a java servelet engine. This software  
> > > does the following..  
> > >  
> > > \* Ranks the bug based on the ranking criterion listed above.  
> > > \* Make sure that the bug has the basic qualification needed to make  
> > > the list of bugs.  
> > > \* Adds the bug to the list of the bugs that need to be escalated.  
> > >  
> > >  
> > > iii) A software to automate the tracking process.  
> > >  
> > > This was again developed as a java servelet. This software  
> > > does the following..  
> > >  
> > > \* Looks for status change on the bugs by querying the bug database.  
> > > \* When the bug changes status, the servelet generates an e-mail to  
> > > the bug advocate and request an update from the advocate.  
> > > \* It intelligently requests update from the advocate based on the type  
> > > of status change. Details can be provided.  
> > >  
> > >  
> > > iv) Calculating the Sun Sigma.  
> > >  
> > > A formula for calculating the Sun Sigma for each bug, each product are

a  
> > > and the the Sun sigma for all the bugs was developed. The Formula and  
> > explanation for the formula will be provided on request.  
> >  
> > > Here is the need for the Sun Sigma number.  
> > >  
> > \* One number that will provide Sun wide standard for how bad these bug  
s  
> > > affect Sun.  
> > > \* One number to highlight the effect of the bug on Sun's bottom line.  
> > > \* One number that can be used as a goal setter to help product groups  
> > > achieve the Sun Sigma of 6 and for continues improvement.  
> > > \* One number that can be the basis of management escalation for  
> important issues.

> > > INVENTOR 2:  
> > > [REDACTED]  
> > > First Name: Yousef  
> > > Middle Initial: R  
> > > Last Name: Yacoub

INVENTOR 3:

> > > First Name: Christopher  
> > > Middle Initial: A  
> > > Last Name: Atwood

> > > INVENTOR 4:

> > > First Name: Mehdi  
> > > Middle Initial:   
> > > Last Name: Ghazizadeh

> > > INVENTOR 5:

> > > First Name: Eric  
> > > Middle Initial: R  
> > > Last Name: Reid

> > > INVENTOR 6:

> > > First Name: Prashant  
> > > Middle Initial:   
> > > Last Name: Srinivasan

# Listing for Jay Vasudevan

Thu, 10 May 2001 09:02:19 -0700

> > > INVENTOR 6:

> > > First Name: James  
> > > Middle Initial: C.  
> > > Last Name: LIU

> > 1  
> >

RECEIVED

MAY 17 2001

ROSENTHAL & OSHAW L.P.

Docketing

Action: File Patent

Base Date: 5-14-2001

Due Date: 8-14-2001

Deadline: 8-14-2001

Initials: JOH

May 14, 2001 Atty/Sec. Initials: \_\_\_\_\_

Via Fax/Confirmation-by-Mail

Sun Microsystems, Inc.  
Intellectual Property Law Department  
901 San Antonio Road, MS UPAL1-521  
Palo Alto, CA 94303  
650-786-2855  
650-786-2325 fax



Mr. John Osha  
Rosenthal & Osha LLP  
700 Louisiana Street, Suite 4550  
Houston, TX 77002

RE: New Patent Disclosure entitled: Market development Engineering – Bug Council Process  
Inventor: Angelo Rajadurai et. al.  
Sun File No.: P6420 NP/SPL  
Business Unit: ISV–Developer Relations

Dear John:

Enclosed is the invention disclosure for the above-identified invention. Sun has selected you for the preparation of the patent application based on our understanding that you will personally write or supervise the preparation of this application.

As counsel on behalf of Sun, it is your responsibility to (1) work directly with the inventor in preparing the application; (2) determine all relevant events regarding any potential U.S. or international patent bar dates; and (3) obtain the necessary signatures and file the application in a timely manner to preserve both U.S. and international patent rights. Please contact me immediately in the event of any problems.

With regard to this application, please note that the following information may change from time to time:

1. Please contact the inventor, Angelo Rajadurai ([angelo.rajadurai@sun.com](mailto:angelo.rajadurai@sun.com)), to let him know that you will be working on it.
2. Please provide Sean Lewis, Sun patent counsel, with a copy of the final draft of Non-Provisional application for review, in time for him to review it and provide comments prior to filing the Non-Provisional application. The draft may be faxed to Sean at 408-945-3462 or mailed to MS OAK01, 24<sup>th</sup> floor, 1800 Harrison, Oakland, CA 94612. Please note that this mail stop by-passes our internal docketing system and should only be used for review of drafts. All other papers should be sent to the address and mail stop given in 6.
3. When you have received Sean's approval of the final draft, please send the formal papers directly to the inventors for their execution and then file the case with the formal papers. Sun will not pay for any costs associated with filing without papers unless approved by Sean prior to filing. The inventor's direct address is the Sun corporate address in 6 below with the appropriate inventor's mail stop which is located on the first page of the invention disclosure (do not send formal papers to be executed to mail stop PAL01-521).
4. Please schedule this for Non-Provisional filing within three (3) months of receipt of this disclosure. When planning your deadlines, please take into account the turn-around time necessary for review by the inventor and by Sun patent counsel. Changes in the filing date may only be approved by me and are not to be negotiated with the inventor.

Mr. John Osha  
P6420 NP/SPL  
May 14, 2001  
Page 2

5. Notify Sean Lewis by fax (408-945-3462) that the case has been filed, within two business days of the filing with Sun reference number, application title and inventor names, requested filing date and actual filing date.

6. If the oath form you generally use is a combined declaration and power of attorney, please also prepare and send to Maria Moore, Patent Operations Manager, Power of Attorney by Assignee and Revocation of Previous Powers document for signature by Kenneth Olsen, Vice President, Intellectual Property, at the following address:

Sun Microsystems, Inc.  
M/S PAL01-521  
901 San Antonio Road  
Palo Alto, CA 94303

7. Please note that Sun does not wish to have assignments notarized. Therefore, please prepare the assignment document without provision for notarization.

8. When sending us a copy of the application as filed, please also include copies of all transmittal documents (with Sun reference number, application title and inventor names, requested filing date and actual filing date). Furthermore, please docket all of the relevant due dates and forward to us copies of all correspondence in this case to the address in 6.

9. As per our current billing agreement, this application is to be billed at actual cost up to a cap of [REDACTED]. Please call Sean at 510-869-2064 if you have any questions about this.

10. Please invoice Sun for all legal fees, filing fees and other costs associated with this disclosure. Please send all invoices to Sandra Butler, 650/336-0240, at the address in 6.

11. When preparing your Declaration and Power of Attorney forms for a Sun patent application, please add the following Sun attorneys to your list of attorneys:

Kenneth Olsen, Reg. No. 26,493, Timothy J. Crean, Reg. No. 37,116, Joseph T. FitzGerald, Reg. No. 33,881, Alexander E. Silverman, Reg. No. 37,940, Anirma R. Gupta, Reg. No. 38,275, Sean P. Lewis, Reg. No. 42,798, Michael J. Schallop, Reg. No. 44,319, Bernice B. Chen, Reg. No. 42,403, Noreen A. Krall, Reg. No. 39,734, Richard J. Lutton, Reg. No. 39,756, Monica D. Ward, Reg. No. 40,696, Marc D. Foodman, Reg. No. 34,110, Naren Chaganti, Reg. No. 44,602, Elaine Lee, Reg. No. 41,936, Hugh H. Matsubayashi, Reg. No. 43,779, Paul D. Sorkin, Reg. No. 39,039, Marilyn E. Glaubensklee, Reg. No. 35,521, Ramin Aghevli, Reg. No. 43,462, Andrew C. Chen, Reg. No. 43,544, and Jeffrey L. Myers, Reg. No. 44,252 all of SUN MICROSYSTEMS, INC., 901 San Antonio Road, Palo Alto, CA, 94303.

Additionally, when preparing a Power of Attorney by Assignee and Revocation of Previous Powers document for a Sun case, please prepare this document for signature by Kenneth Olsen, Vice President of Intellectual Property, and send to Maria Moore, Patent Operations Manager, at the address in 6.

Please feel free to contact me, Elaine Lee at 650-786-2329 or Sean Lewis at 510-869-2064 with any questions regarding the above. Thank you for your help in this matter.

Sincerely,



Elaine K. Lee for

Sean P. Lewis

Sr. Counsel

Enclosures

cc: File, Docketing

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	
Transaction Date 05/18/2001								
16159.027001	05/18/2001		4 A	1	135.00	1.00	111.71	Review disclosure and contact inventor
								AF
<b>Total for Transaction Date 05/18/2001</b>							<b>111.71</b>	
Transaction Date 05/22/2001								
16159.027001	05/22/2001		4 A	1	135.00	1.00	111.71	Contact inventor
								AF
<b>Total for Transaction Date 05/22/2001</b>							<b>111.71</b>	
Transaction Date 07/03/2001								
16159.027001	07/03/2001		25 A	6	95.00	0.50	39.30	Work on application
								AF
16159.027001	07/03/2001		4 A	1	135.00	0.25	27.93	Contact inventor
								AF
<b>Total for Transaction Date 07/03/2001</b>							<b>67.23</b>	
Transaction Date 07/06/2001								
16159.027001	07/06/2001		4 A	1	135.00	0.75	83.78	Review application, file and status
								AF
<b>Total for Transaction Date 07/06/2001</b>							<b>83.78</b>	
Transaction Date 07/11/2001								
16159.027001	07/11/2001		4 A	1	135.00	0.50	55.85	
								AF
<b>Total for Transaction Date 07/11/2001</b>							<b>55.85</b>	
Transaction Date 07/24/2001								
16159.027001	07/24/2001		4 A	1	135.00	0.25	27.93	Contact inventor re: additional disclosure
								AF
<b>Total for Transaction Date 07/24/2001</b>							<b>27.93</b>	
Transaction Date 07/25/2001								
16159.027001	07/25/2001		25 A	1	95.00	3.00	235.83	Work on Application
								AF
<b>Total for Transaction Date 07/25/2001</b>							<b>235.83</b>	
Transaction Date 07/30/2001								
16159.027001	07/30/2001		4 A	1	135.00	1.50	167.56	Take full disclosure from inventor and discuss
								AF
16159.027001	07/30/2001		25 A	1	95.00	6.50	510.96	Work on Application
								AF
<b>Total for Transaction Date 07/30/2001</b>							<b>678.52</b>	
Transaction Date 07/31/2001								
16159.027001	07/31/2001		25 A	1	95.00	2.00	157.22	Work on application
								AF
<b>Total for Transaction Date 07/31/2001</b>							<b>157.22</b>	
Transaction Date 08/01/2001								
16159.027001	08/01/2001		25 A	1	95.00	5.00	393.05	Work on application
								AF
<b>Total for Transaction Date 08/01/2001</b>							<b>393.05</b>	
Transaction Date 08/02/2001								
16159.027001	08/02/2001		4 A	1	135.00	1.50	167.56	Review application
								AF
<b>Total for Transaction Date 08/02/2001</b>							<b>167.56</b>	

**Detail Fee Transaction File List**  
Osha Liang L.L.P.

Client	Trans Date	Tmk	H P	Tcd	Rate	Hours to Bill	Amount
<b>Transaction Date 08/02/2001</b>							
					Billable	1.50	167.56
<b>Total for Transaction Date 08/02/2001</b>							
16159.027001	08/03/2001	4	A	1	135.00	2.50	279.27
Review application [REDACTED]							
<b>Total for Transaction Date 08/03/2001</b>							
16159.027001	08/12/2001	25	A	1	95.00	4.50	353.74
Work on application [REDACTED]							
<b>Total for Transaction Date 08/12/2001</b>							
16159.027001	08/16/2001	4	A	1	160.00	2.00	264.79
Review application [REDACTED]							
<b>Total for Transaction Date 08/16/2001</b>							
16159.027001	08/17/2001	4	A	1	160.00	1.00	132.39
Review application [REDACTED]							
<b>Total for Transaction Date 08/17/2001</b>							
16159.027001	08/20/2001	4	A	1	160.00	1.00	132.39
Review application [REDACTED]							
16159.027001	08/20/2001	25	A	1	100.00	8.50	703.35
Work on application [REDACTED]							
<b>Total for Transaction Date 08/20/2001</b>							
16159.027001	08/21/2001	25	A	1	100.00	9.00	744.72
Work on application [REDACTED]							
<b>Total for Transaction Date 08/21/2001</b>							
16159.027001	08/22/2001	26	A	6	105.00	4.00	347.54
Work on application [REDACTED]							
16159.027001	08/22/2001	4	A	1	160.00	1.00	132.39
Review application [REDACTED]							
<b>Total for Transaction Date 08/22/2001</b>							
16159.027001	08/23/2001	26	A	1	105.00	4.00	347.54
Work on application [REDACTED]							
16159.027001	08/23/2001	4	A	1	160.00	2.00	264.79
Review application [REDACTED]							
16159.027001	08/23/2001	25	A	1	100.00	1.50	124.12
Work on application [REDACTED]							
<b>Total for Transaction Date 08/23/2001</b>							
16159.027001	08/24/2001	4	A	1	160.00	3.00	397.18
Work on application; send to inventor [REDACTED]							
16159.027001	08/24/2001	2	A	1	335.00	2.00	554.41
Work on application [REDACTED]							

**Detail Fee Transaction File List**  
Osha Liang L.L.P.

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	
<b>Transaction Date 08/24/2001</b>								
					Billable	5.00	951.59	
<b>Total for Transaction Date 08/24/2001</b>								
16159.027001	08/28/2001	25	A	1	100.00	4.00	330.99	Work on application
<b>Total for Transaction Date 08/28/2001</b>								
					Billable	4.00	330.99	
<b>Transaction Date 09/04/2001</b>								
16159.027001	09/04/2001	25	A	1	100.00	0.50	23.48	Work on application
<b>Total for Transaction Date 09/04/2001</b>								
					Billable	0.50	23.48	
<b>Transaction Date 09/05/2001</b>								
16159.027001	09/05/2001	4	A	1	160.00	1.00	75.15	Work on application
<b>Total for Transaction Date 09/05/2001</b>								
					Billable	1.00	75.15	
<b>Transaction Date 09/06/2001</b>								
16159.027001	09/06/2001	25	A	1	100.00	2.25	105.68	Work on application
<b>Total for Transaction Date 09/06/2001</b>								
					Billable	2.25	105.68	
<b>Transaction Date 09/07/2001</b>								
16159.027001	09/07/2001	25	A	1	100.00	0.25	11.74	Work on application
<b>Total for Transaction Date 09/07/2001</b>								
					Billable	0.25	11.74	
<b>Transaction Date 09/11/2001</b>								
16159.027001	09/11/2001	25	A	1	100.00	0.25	11.74	Work on application
<b>Total for Transaction Date 09/11/2001</b>								
					Billable	0.25	11.74	
<b>Transaction Date 09/18/2001</b>								
16159.027001	09/18/2001	25	A	1	100.00	1.00	46.97	Review formal papers
<b>Total for Transaction Date 09/18/2001</b>								
					Billable	1.00	46.97	
<b>Transaction Date 09/21/2001</b>								
16159.027001	09/21/2001	4	A	1	160.00	0.80	60.12	Review comments for inventor
<b>Total for Transaction Date 09/21/2001</b>								
					Billable	0.80	60.12	
<b>Transaction Date 09/25/2001</b>								
16159.027001	09/25/2001	25	A	1	100.00	1.00	46.97	Review formal papers; send fax
<b>Total for Transaction Date 09/25/2001</b>								
					Billable	1.00	46.97	
<b>Transaction Date 09/30/2001</b>								
16159.027001	09/30/2001	2	A	502			232.49	
16159.027001	09/30/2001	2	A	506			35.23	
16159.027001	09/30/2001	2	A	510			70.43	

**Detail Fee Transaction File List**  
Osha Liang L.L.P.

Client	Trans Date	Tmrk	H P	Tcd	Rate	Hours to Bill	Amount
<b>Transaction Date 09/30/2001</b>							
<b>Total for Transaction Date 09/30/2001</b>					Billable	0.00	<b>338.15</b>
<b>Transaction Date 10/01/2001</b>							
16159.027001	10/01/2001	25	A	1	100.00	0.50	50.00 Review application prior to filing
<b>Total for Transaction Date 10/01/2001</b>					Billable	0.00	<b>0.00</b>
					Non-billable	0.50	<b>50.00</b>
					Total	0.50	<b>50.00</b>
<b>Transaction Date 10/02/2001</b>							
16159.027001	10/02/2001	25	A	1	100.00	1.00	100.00 Review formal papers; send fax
16159.027001	10/02/2001	4	A	1	160.00	0.40	64.00 Work on application
<b>Total for Transaction Date 10/02/2001</b>					Billable	0.00	<b>0.00</b>
					Non-billable	1.40	<b>164.00</b>
					Total	1.40	<b>164.00</b>
<b>Transaction Date 10/03/2001</b>							
16159.027001	10/03/2001	11	A	1	105.00	1.00	105.00
16159.027001	10/03/2001	2	A	1	335.00	0.90	301.50 Review and file application
<b>Total for Transaction Date 10/03/2001</b>					Billable	0.00	<b>0.00</b>
					Non-billable	1.90	<b>406.50</b>
					Total	1.90	<b>406.50</b>
16159.027001	10/30/2001	29	A	6	105.00	0.25	
<b>Total for Transaction Date 10/30/2001</b>					Billable	0.00	<b>0.00</b>
					Non-billable	0.25	<b>26.25</b>
					Total	0.25	<b>26.25</b>
	11/14/2001	2	A	1	335.00	0.20	
<b>Total for Transaction Date 11/14/2001</b>					Billable	0.20	<b>67.00</b>
T		5	A	1	160.00	0.20	
<b>Total for Transaction Date 12/19/2001</b>					Billable	0.20	<b>32.00</b>
		2	A	538			50.00
		14	A	538	95.00	0.50	47.50
<b>Total for Transaction Date 04/04/2002</b>					Billable	0.00	<b>50.00</b>
					Non-billable	0.50	<b>47.50</b>
					Total	0.50	<b>97.50</b>
T		5	A	1	175.00	0.20	35.00
<b>Total for Transaction Date 07/15/2002</b>					Billable	0.20	<b>35.00</b>

## Wendy Hippe

**From:** Robert Lord [lord@rossha.com]  
**Sent:** Monday, July 30, 2001 4:20 PM  
**To:** angelo@west.sun.com  
**Cc:** Anne-Marie (E-mail); Wendy (E-mail); Randy (E-mail)  
**Subject:** Bug Council Patent; 16159/027001

Hello, Angelo.

Thank you for all your helpful replies to our questions during our telephone conversation today.

One other thing that occurred to me is that we could use screenshots of the web interface(s) used for your invention. If you could send those images to us as soon as possible, we should have the first draft of the patent sent out to you in about 1-2 weeks.

Again, thanks for your help with this patent application.

Best regards,

Randy Smith & Robert Lord  
Rosenthal & Osha L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002  
Phone: (713) 228-8600  
Fax: (713) 228-8778  
www.rossha.com

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## Wendy Hippe

---

**From:** Angelo Rajadurai [Angelo.Rajadurai@Sun.COM]  
**Sent:** Monday, July 30, 2001 5:11 PM  
**To:** Angelo.Rajadurai@Sun.COM; Lord  
**Cc:** Anne-Marie Santiago; Wendy Hippe; Randall Smith  
**Subject:** Re: Bug Council Patent; 16159/027001



drilldown.gif



open.gif



submitt.gif

Robbert:

Here are a few screen shots let me know if you need any more.

Regards,

Angelo

>From: "Robert Lord" <lord@rossha.com>  
>To: <Angelo.Rajadurai@Sun.COM>  
>Cc: "Anne-Marie \ (E-mail\)" <santiago@rossha.com>, "Wendy \ (E-mail\)" <hippe@rossha.com>, "Randy \ (E-mail\)" <rsmith@rossha.com>  
>Subject: Bug Council Patent; 16159/027001  
>Date: Mon, 30 Jul 2001 16:19:46 -0500  
>MIME-Version: 1.0  
>Content-Transfer-Encoding: 7bit  
>X-Priority: 3 (Normal)  
>X-MSMail-Priority: Normal  
>Disposition-Notification-To: "Robert Lord" <lord@rossha.com>  
>X-MimeOLE: Produced By Microsoft MimeOLE V5.50.4522.1200  
>Importance: Normal  
>X-SLUIDL: FE614CA6-84E711D5-A53D0080-5F65AE34  
>  
>Hello, Angelo.  
>  
>Thank you for all your helpful replies to our questions during our telephone  
>conversation today.  
>  
>One other thing that occurred to me is that we could use screenshots of the  
>web interface(s) used for your invention. If you could send those images to  
>us as soon as possible, we should have the first draft of the patent sent  
>out to you in about 1-2 weeks.  
>  
>Again, thanks for your help with this patent application.  
>  
>  
>Best regards,  
>  
>Randy Smith & Robert Lord  
>Rosenthal & Osha L.L.P.  
>700 Louisiana, Suite 4550  
>Houston, Texas 77002  
>Phone: (713) 228-8600  
>Fax: (713) 228-8778  
>www.rossha.com  
>  
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>that you have received this message in error and delete this message. Your  
>cooperation is greatly appreciated.

# ROSENTHAL & OSHA L.L.P.

SUITE 4550 • 700 LOUISIANA STREET • HOUSTON, TEXAS 77002  
TELEPHONE: (713) 228-8600      FACSIMILE: (713) 228-8778      E-MAIL: ADEWUYA@rosha.com

August 24, 2001

*Via Facsimile No (781) 442-1520*

Angelo Rajadurai  
Sun Microsystems, Inc.  
M/S: ULAX01  
901 San Antonio Road  
Palo Alto, CA 94303

Yousef R. Yacoub  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

Christopher A. Atwood  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

Mehdi Ghazizadeh  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

**SUN PROPRIETARY/  
CONFIDENTIAL**

Eric R. Reid  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

Prashant Srinivasan  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

Re:    New U. S. Patent Application Entitled  
      “MARKET DEVELOPMENT ENGINEERING – BUG  
      COUNCIL PROCESS”  
Inventor(s): Angelo RAJADURAI et al  
Our File: 16159/027001  
Your Reference: P6420

Dear Messrs. Rajadurai, Yacoub, Atwood, Ghazizadeh, Reid and Srinivasan:

Enclosed for your review is a first draft of a patent application entitled “Market Development Engineering – Bug Council Process.” Please review this application *carefully* and send us your comments at your earliest convenience. Described below are certain requirements

August 24, 2001

Page 2

of the United States patent laws of which you should be aware during your review of the draft application and the subsequent prosecution of the application after it is filed.

**Review of the Specification**

Please review the entire application for completeness and accuracy. Under the patent laws of the United States, the patent specification must teach a person of ordinary skill in the art how to practice the claimed invention without undue experimentation; it is critical that the patent application meet this requirement. Additionally, do not hesitate to point out any technical errors, details, or advantages that I have not adequately described.

**“Best-Mode” Requirement**

Under the patent laws of the United States, a patent application must describe the “best mode” contemplated by the inventor of practicing the claimed invention *at the time of filing the application*. Failure to comply with the best-mode requirement may result in the patent later being held to be invalid.

As a general proposition, any time an inventor has a preference regarding any aspect of the invention, including specifically the way the invention is implemented from a commercial or other point of view, that aspect should at least be considered as a possible best mode point. Depending on the circumstances, the best mode might include preferred manufacturing techniques, preferred operating conditions, preferred materials used in construction or operation, preferred algorithms, subsequent improvements to the initial invention, *etc.* In addition, the best mode is not limited to information developed by the inventor, but may include items suggested or developed by others but known to the inventor at the time the application is filed. I encourage you to discuss with me any questions you may have regarding compliance with the best-mode requirement in this patent application.

**Review of the Claims**

Each claim in the application (the numbered paragraphs at the end of the application) represents a separate definition of the invention, and hence each claim may afford a different scope of protection if allowed. As you read the claims, please remember that the “dependent” claims (*i.e.*, those claims that refer to other claims in the application by number) include *all* of the limitations of the referenced claim(s). You will notice that some claims are more specific than others. It is appropriate to seek to cover the invention in both its broader and more narrow aspects by drafting claims of varying scope. In general, the more detail that is recited in a claim, the more narrow the scope of the protection afforded by that claim.

ROSENTHAL & OSHA L.L.P.

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August 24, 2001

Page 3

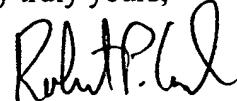
When reviewing the claims, please ensure that there is a claim that covers each of the various ways in which the invention could be practiced; ideally, there should be at least one broad claim in the application that covers *all* of the various possible embodiments of the invention. Please bear in mind that a claim that includes an element that is *not* present in a particular embodiment of the invention probably will not cover that embodiment. On the other hand, it is not necessary for a claim to include every element of an embodiment of an invention to cover the invention. If *none* of the claims covers a particular variation or embodiment of the invention, please let me know, as we should consider adding one or more additional claims.

**Disclosure of Material "Prior Art" and Other Information**

Please note that each inventor will be required to sign a declaration as a part of the application process. The declaration is a statement by the person signing it, made subject to the penalties of perjury, acknowledging that the person signing the declaration: (1) has reviewed and understands the contents of the application; (2) believes that he/she is an original and first inventor of the claimed invention; and (3) understands that he/she and all other individuals having substantial involvement in the preparation or prosecution of the application have a duty to disclose to the U.S. Patent and Trademark Office all information known to them (or that later becomes known to them prior to the issuance of the patent) that is "material" to the examination of the application. Such "material information" includes *prior art that is material to the examination of the application*. Even *potentially* material information should be brought to our attention so that we may consider submitting it to the Patent and Trademark Office.

If you have any questions, please feel free to call or otherwise contact us.

Very truly yours,



Robert P. Lord

cc: Mr. Sean P. Lewis, Sr. Counsel

**Anne-Marie Santiago**

---

**From:** Robert Lord [lord@rosha.com]  
**Sent:** Wednesday, August 29, 2001 4:13 PM  
**To:** Randy (E-mail); Anne-Marie (E-mail)  
**Subject:** FW: Confirmation of Receipt/Status of Review; P6420/16159/027001

FYI...

-----Original Message-----

From: Robert Lord [mailto:lord@rosha.com]  
Sent: Wednesday, August 29, 2001 1:44 PM  
To: 'angelo@west.sun.com'; 'Chris.atwood@eng.sun.com'  
Subject: Confirmation of Receipt/Status of Review; P6420/16159/027001

Hello, Angelo and Chris.

I just wanted to confirm that you have received our 36 page fax to you containing the first draft of the patent application for the Market Development Engineering--Bug Council Process. If you did not receive the draft, please contact me immediately. If you received the document, what is the status of your review and an anticipated date for us to receive comments on the draft?

Thank you for your help with this patent application.

Best regards,

Robert Lord & Randy Smith  
Rosenthal & Osha L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002  
Phone: (713) 228-8600  
Fax: (713) 228-8778  
[www.rosha.com](http://www.rosha.com)

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**Anne-Marie Santiago**

---

**From:** Robert Lord [mailto:[lord@rosha.com](mailto:lord@rosha.com)]  
**Sent:** Thursday, September 06, 2001 2:05 PM  
**To:** 'Anne-Marie Santiago'  
**Subject:** RE: Phone Contact with Inventor 16159/027001 9-6-2001

Actually, make the title, "Rating Apparatus and Method for Evaluating Bugs"

-----Original Message-----

From: Anne-Marie Santiago [mailto:[santiago@rosha.com](mailto:santiago@rosha.com)]  
Sent: Thursday, September 06, 2001 12:16 PM  
To: Lord  
Subject: RE: Phone Contact with Inventor 16159/027001 9-6-2001

No we did not send formal papers with the first draft application on 8/24/01 on this matter. I will prepare them and include the title change.

Have a great day!

Anne-Marie Santiago  
Patent Assistant/Legal Secretary  
Rosenthal & Osha L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, TX 77002  
(713) 228-8600 - Telephone  
(713) 228-8778 - Facsimile

PRIVILEGED AND CONFIDENTIAL

-----Original Message-----

From: Robert Lord [mailto:[lord@rosha.com](mailto:lord@rosha.com)]  
Sent: Thursday, September 06, 2001 10:59 AM  
To: Randall Smith; "Robert Lord" (E-mail); "Anne-Marie" (E-mail)  
Subject: RE: Phone Contact with Inventor 16159/027001 9-6-2001

Did we send formal papers with this application?

If so, we also need to change the title on them . . .

--Robert.

-----Original Message-----

From: Randall Smith [mailto:[rsmith@rosha.com](mailto:rsmith@rosha.com)]  
Sent: Thursday, September 06, 2001 10:44 AM  
To: 'Robert Lord' (E-mail); 'Anne-Marie' (E-mail)  
Subject: Phone Contact with Inventor 16159/027001 9-6-2001

Angelo Rajadurai just called me up regarding comments on the draft we sent him. He said he does not have the draft with him, and is working from home. The only comment he has at this time is that we need to change the name of the app to "Rating Apparatus for Evaluating Bugs" from "Method of Evaluating Bugs Using a Bug Council Rating Apparatus." Apparently "Bug Council" is being used for something else, and so therefore we should not use it. He said he had some other minor comment, but he forgot what it was, and that if he remembers it, he will call us today. His cell phone number is 781-354-6798.

I told him we need his final comments by tomorrow. I will take a look at the draft and see what other changes are required in order to change the title.

Best regards,

Randy Smith  
Rosenthal & Osha L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002  
Phone: (713) 228-8600  
Fax: (713) 228-8778  
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# Fax

Date: September 20, 2001

File:

To: James Liu

Fax No.: 650-786-6530

CC:

From: Randy Smith

Re: Patent Application Bug Council

Pages (Including cover sheet): 33

18 ✓ ✓ ✓

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• **COMMENTS: ATTN: James Liu**

**The body of the document starts at page 15**

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75008 PARIS • FRANCE

September 19, 2001

***Via Fed-Ex No. 810381976346***

Angelo Rajadurai  
555 S. Main St.  
Attleboro, MA 02703

***Via Facsimile No: (650) 786-9555***

Christopher A. Atwood  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

***Via Facsimile No: (650) 786-9555***

Yousef R. Yacoub  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

***Via Facsimile No: (650) 786-9555***

Prashant Srinivasan  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

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***Via Facsimile No.: (616) 629-7248***

Eric R. Reid  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

***Via Facsimile No: (650) 786-9555***

Mehdi Ghazizadeh  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

***Via Facsimile No. (650) 786-6530***

James C. Liu  
Sun Microsystems, Inc.  
M/S: UMPK24-201  
901 San Antonio Road  
Palo Alto, CA 94303

Re: U.S. Patent Application Entitled  
"Rating Apparatus and Method for Evaluating Bugs"  
Inventor: Angelo RAJADURAI et al.  
Our File: 16159/027001  
Your Reference: P6420

Dear Messrs. Rajadurai, Atwood, Yacoub, Srinivasan, Reid, Ghazizadeh, and Liu:

We have now revised the draft and claims in accordance with your instructions and attach the final draft of the application. Please review the application and sign the Declaration and Assignment. The Power of Attorney will be sent to Ken Olsen after executed assignments are received.

ROSENTHAL & OSHA L.L.P.

Messrs. Rajadurai, Atwood, Yacoub, Srinivasan, Reid, Ghazizadeh, and Liu:

September 19, 2001

Page 2

We look forward to receiving the executed documents by fax prior to Thursday, September 20, 2001. Also, please make a copy of the executed documents for your records and send the originals to us via U. S. Postal Service. Thank you for your assistance in the preparation of the referenced patent application. If you have any questions or comments, please do not hesitate to contact us.

Very truly yours,

Jonathan P. Osha

JPO/RL/ams

Enclosures

cc: Sean Lewis *Via U. S. Mail*

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16159/027001

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# Fax

**FAXED**

**Date:** September 25, 2001

**File:** 16159/027001

**To:** Eric R. Reid

**Fax No.:** (616) 629-7248

**CC:**

**From:** Randall Smith – Patent Engineer

**Re:** P6420

**Pages (including cover sheet):**

15

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**● COMMENTS:**

Attached, please find a revised Assignment. We had to insert your middle initial. Also attached are the final figures for the above referenced application. We believe the ones previously faxed to you on September 19, 2001 were not the most recent ones.

Another Declaration is also enclosed for your convenience. Please send us an executed copy of the Assignment and Declaration via fax to (713) 228-8778 as soon as possible. Then make a copy for your records and send the original executed copies to us via regular mail.

Please confirm with us that you have received this fax via E-Mail to [Santiago@rosha.com](mailto:Santiago@rosha.com). Should you have any questions, please do not hesitate to call me at (713) 228-8600.

Thank you.

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**Sun Microsystems**

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<b>Phone:</b>	616.629.7238	<b>Date:</b>	09/26/01
<b>Re:</b>	Signed documents	<b>CC:</b>	

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# Fax

Date: September 21, 2001

File: 16159.027001

To: Chris Atwood, Yousef Yacoub,

Prashant Srinivasan,

Fax No.: (650) 786-9555

Mehdi Ghazizadeh

CC:

From: Randy Smith & Robert Lord

Re: Your Ref. P 6420

Pages (including cover sheet): 34

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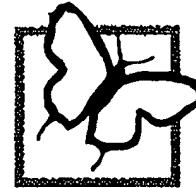
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October 2, 2001

To	From
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Re: P6420

Hi AnneMarie,

Please note the attached Declaration pages signed and dated. I will FedEx the original today.

Sincerely,

Sandy Morales

cc:

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# Fax

Date: October 1, 2001

File: 16159/027001

Chris Atwood, Yousef Yacoub,

To: Prashant Srinivasan,

Fax No.: (650) 786-9555

Mehdi Ghazizadeh

CC:

From: Randall Smith - Patent Engineer

Re: P6420

Pages (including cover sheet):

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## • COMMENTS:

Thank you for the executed original assignment we received by Federal Express today. We also need to have an executed Declaration which was enclosed in your package but remained unsigned. Attached are the pages that need your signatures. As soon as we receive the signed Declaration we can file the application. We apologize for any confusion.

Please confirm with us that you have received this fax via E-Mail to [Santiago@rosha.com](mailto:Santiago@rosha.com). Should you have any questions, please do not hesitate to call me at (713) 228-8600.

Thank you.

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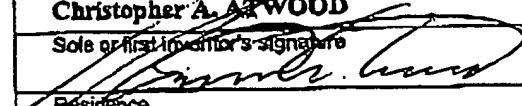
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Full name of fifth inventor, if any <b>Angelo RAJADURAI</b>	Date
Fifth Inventor's signature	
Residence <b>555 S. Main St., Attleboro, MA 02703</b>	
Citizenship <b>Indian</b>	
Post Office Address <b>555 S. Main St., Attleboro, MA 02703</b>	

Full name of sixth inventor, if any <b>Prashant SRINIVASAN</b>	Date
Sixth Inventor's signature <i>Prashant</i>	
Residence <b>2005 Rock St., Apt. 11, Mountain View, CA 94043</b>	
Citizenship <b>Indian</b>	
Post Office Address <b>2005 Rock St., Apt. 11, Mountain View, CA 94043</b>	

Full name of seventh Inventor, if any <b>Mehdi GHAZIZADEH</b>	Date
Seventh Inventor's signature <i>Mehdi GHAZIZADEH</i>	
Residence <b>611 Burnett #19, San Francisco, CA 94131</b>	
Citizenship <b>Iran</b>	
Post Office Address <b>611 Burnett #19, San Francisco, CA 94131</b>	

Full name of eighth Inventor, if	Date
Eighth Inventor's signature	
Residence	
Citizenship	
Post Office Address	

Full name of sole or first Inventor <b>Christopher A. ATWOOD</b>	Date
Sole or first Inventor's signature  10/1/01	
Residence 3664 Cody Court, Santa Clara, CA 95051-6302	
Citizenship USA	
Post Office Address 3664 Cody Court, Santa Clara, CA 95051-6302	

Full name of second inventor, if any <b>Yousef R. YACOUB</b>	Date
Second Inventor's signature  10/1/01	
Residence 156 Park Dartmouth Pl., San Jose, CA 95136	
Citizenship USA	
Post Office Address 156 Park Dartmouth Pl., San Jose, CA 95136	

Full name of third Inventor, if any <b>Eric R. REID</b>	Date
Third Inventor's signature	
Residence 2063 Idlewild Dr., Richland, MI 49083	
Citizenship USA	
Post Office Address 2063 Idlewild Dr., Richland, MI 49083	

Full name of fourth Inventor, if any <b>James C. LIU</b>	Date
Fourth Inventor's signature  10/1/01	
Residence 529 Alberta Ave., Sunnyvale, CA 94087	
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# Fax

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Date: October 3, 2001

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To: Mr. Sean P. Lewis

Fax No.: (408) 945-3462

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CC:

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From: Jonathan P. Osha

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Re: Your Reference: P6420

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121, AVENUE DES CHAMPS ELYSÉES  
75008 PARIS • FRANCE

October 3, 2001

*Via Facsimile No. (408) 945-3462*

Ms. Sean P. Lewis  
Sr. Counsel Intellectual Property Law Dept.  
Sun Microsystems, Inc.  
901 San Antonio Road  
MS UPAL1-521  
Palo Alto, CA 94303

Re: New U.S. Patent Application Entitled  
"Rating Apparatus and Method for Evaluating Bugs"  
Our File: 16159/027001  
Your Reference: P6420

Dear Sean:

We confirm filing of the referenced Utility Application with the U.S. Patent and Trademark Office on October 3, 2001, and enclose a copy for your records.

Please note that we have one year from the filing date, namely, October 3, 2002, in which to file foreign applications claiming priority of the U.S. patent application. We will keep this date in our docketing system and will remind you of the deadline as the date draws closer.

Currently, we expect this application will be set for publication by the U.S. Patent Office on April 3, 2002 (18 months from filing). Please note that upon publication, it will be possible for third parties to view the above application. It is also possible for third parties to submit information to be considered by the examiner in your patent application. However, it is not possible for third parties to oppose your application before issuance without your express consent. If you would like further information regarding this process, please contact us.

In addition, we take this opportunity to remind you of the duty of candor under 37 CFR § 1.56. If you or the applicant should become aware of any information material to the examination of this application, kindly provide it to us as soon as possible so that it may be submitted to the Patent and Trademark Office in an Information Disclosure Statement.

ROSENTHAL & OSHA L.L.P.

Mr. Sean P. Lewis  
October 3, 2001  
Page 2

If you have any questions or comments, please do not hesitate to contact us.

Very truly yours,



A handwritten signature in black ink, appearing to read "JPO".

Jonathan P. Osha

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